Water Vapor Tracers as Diagnostics of the Regional Hydrologic Cycle

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Regional Hydrologic Cycle

ABSTRACT

Numerous studies suggest that local feedback of surface evaporation on precipitation, or recycling, is a significant source of water for precipitation. Quantitative results on the exact amount of recycling have been difficult to obtain in view of the inherent limitations of diagnostic recycling calculations. The current study describes a calculation of the amount of local and remote geographic sources of surface evaporation for precipitation, based on the implementation of three-dimensional constituent tracers of regional water vapor sources (termed water vapor tracers, WVT) in a general circulation model. The major limitation on the accuracy of the recycling estimates is the veracity of the numerically simulated hydrological cycle, though we note that this approach can also be implemented within the context of a data assimilation system. In the WVT approach, each tracer is associated with an evaporative source region for a prognostic three-dimensional variable that represents a partial amount of the total atmospheric water vapor. The physical processes that act on a WVT are determined in proportion to those that act on the model's prognostic water vapor. In this way, the local and remote sources of water for precipitation can be predicted within the model simulation, and can be validated against the model's prognostic water vapor.

As a demonstration of the method, the regional hydrologic cycles for North America and India are evaluated for six summers (June, July and August) of model simulation. More than 50% of the precipitation in the Midwestern United States came from continental regional sources, and the local source was the largest of the regional tracers (14%). The Gulf of Mexico and Atlantic regions contributed 18% of the water for Midwestern precipitation, but further analysis suggests

North American continental regions, the local source of precipitation is correlated with total precipitation. There is a general positive correlation between local evaporation and local precipitation, but it can be weaker because large evaporation can occur when precipitation is inhibited. In India, the local source of precipitation is a small percentage of the precipitation owing to the dominance of the atmospheric transport of oceanic water. The southern Indian Ocean provides a key source of water for both the Indian continent and the Sahelian region.

1. Introduction

Interannual variability of hydrometeorology (e.g. drought and flood) can have a devastating impact on a region's society and economy. Improved understanding of the variability and extremes of the hydroclimate through diagnostic study should benefit long-term forecasting, disaster preparedness and allocation of resources (e.g. energy and water conservation). The processes that initiate and maintain drought and flood have been a significant concern, especially considering that global change may exacerbate the intensity of these hydrologic anomalies. Therefore, it is important to diagnose and understand the complete hydrologic cycle. Many questions remain regarding the geographic source of water in precipitation. For example, how much precipitation originates from local evaporation (precipitation recycling, Eltahir and Bras, 1996) compared to water that has been transported for some distance?

Koster et al. (1986) introduced passive tracers in a GCM that have a source equal to the evaporation from a prescribed continent or ocean, and used model sources and sinks of water (condensation, reevaporation, convection and advection) to compute tendencies of the passive tracer. Here, we call such passive tracers, Water Vapor Tracers (WVTs). In this way, water was "tagged" at its surface source and followed through atmospheric processes until it precipitated from the atmosphere. The results differentiated between continental and oceanic sources of water vapor that contribute to precipitation. The simulations were performed with coarse resolution (8° \times 10°, 9 layers) and the results were for one-month periods. The amount of water that evaporates and then precipitates within the same region (precipitation recycling, as defined by Eltahir and Bras, 1996) was not addressed.

Joussaume et al. (1986) describe a similar method of diagnosing the origin of precipitation by predicting the three-dimensional transport of water that evaporated at the surface

of a distinct region as a passive constituent of the atmosphere. The formulation of sources and sinks of water vapor tracers is discussed in detail, including evaporation, condensation, reevaporation, diffusion, advection and convection. The water vapor tracer is predicted forward in time from its source by surface evapotranspiration until it precipitates back to the surface, including all the model-simulated processes that act on the model's prognostic water vapor. It was noted that positive definite advection is required for these simulations, and a forward advection scheme was used. This effort demonstrated the range of influence of the oceans on continental precipitation, but did not evaluate local precipitation recycling or the interannual variability of the source regions. Nonetheless, these experiments described a methodology that should also provide significant diagnostic information when studying regional hydrologic cycles (such as those associated with the North American and Indian monsoons). Recently, Numagati (1999) used this methodology to study the Eurasian regional sources of precipitation.

In order to diagnose the sources of North American precipitation, Dirmeyer and Brubaker (1999) used a diagnostic method of analyzing quasi-isentropic back-trajectories of water vapor. The method uses six-hourly estimates of National Centers for Environmental Prediction (NCEP) reanalysis wind, water vapor and evaporation and hourly precipitation observations to determine the regional sources of precipitation. An advantage to this method is that the types of data used by the system are quite flexible (model, analysis or observation data can be inserted). Another advantage is that the computations are less intensive than performing multi-year GCM simulations or reanalyses. However, the initialization of the quasi-isentropic tracers depends on a statistical distribution of the precipitation, and the movement and extraction of water does not depend on the physical tendencies included in the reanalysis. The back-trajectory method does provide estimates of precipitation recycling.

Bulk diagnostic recycling methods evaluate monthly mean precipitation, evaporation and moisture transport to compute precipitation recycling (Brubaker et al., 1993; and Eltahir and Bras, 1994). These methods use vertically integrated water vapor transport and assume that the local source of water is well-mixed with all other sources of water in the whole vertical column. Studies by Trenberth (1999) and Bosilovich and Schubert (2001) used these methods to evaluate the spatial and interannual variability of the local source of water (precipitation recycling). While these methods are computationally efficient, they may be hindered by simplifications, most notably, a lack of short time scale correlations between the hydrological parameters (in particular the diurnal and synoptic time scales). These models provide solely the local source of water and do not include the remote sources of water for a region.

There are many uses for a quantitative diagnostic that can distinguish the local and remote sources of water that precipitates. For example, Barnston and Schikedanz (1984) found that irrigation could enhance precipitating events (by studying observations of precipitation and irrigation trends), but only when a mechanism to trigger the precipitation was present (e.g. a thunderstorm or squall line). Huang et al. (1996) used a simple model for soil moisture to determine evaporation from monthly mean temperature and precipitation. The results show that evaporation variability is much smaller than precipitation variability, suggesting that the local source of water is less important to precipitation anomalies. Klein and Bloom (1989) studied the statistical relationship between precipitation events and the 700 mb atmospheric circulation in order to improve prediction of seasonal precipitation. From these relationships, the direction of dominant low level flow contributing to precipitation could be determined, and the remote source of water could be inferred. These studies lack a quantified budget of the local and remote sources of water in the regional hydrology.

This paper describes the implementation and validation of regional water vapor tracers in a GCM following the methodology used by Koster et al. (1986) and Joussaume et al. (1986). Their application was designed to address global issues. We will evaluate and validate the methodology for use in regional studies. Section 2 describes the model structure, experimental design, and tracer formulation. In section 3, the model water, precipitation, and the WVTs are validated, and the local and remote sources of water for precipitation over India and North America are examined. The precipitation recycling from the WVTs is compared to bulk diagnostic recycling methods.

2. Model and Methodology

a. GEOS GCM

The base model used in this study is version 3 of the Goddard Earth Observing System (GEOS-3) GCM (Suarez and Takacs 1995). The moisture and tracer advection is calculated by a positive definite semi-Lagrangian method (Lin and Rood, 1996) on the Arakawa C grid, while the temperature advection is computed by a fourth order scheme. The model physics includes: Relaxed Arakawa-Schubert (RAS) convection (Moorthi and Suarez, 1992) with rain evaporation (Sud and Molod 1988), parameterization of shortwave radiation (Harshvardhan et al. 1987) and longwave radiation (Chou 1984), and a level 2.5 boundary layer turbulence closure scheme (Helfand and Labraga, 1988). Recent improvements to the GEOS GCM include the addition of the Mosaic land-surface model (Koster and Suarez, 1992), and the incorporation of sub-grid moist processes in turbulent diffusion (Helfand et al. 1999). The Mosaic land-surface model includes prognostic soil water, temperature and snow, as well as mosaic heterogeneity. The GEOS-3 GCM is a component of the GEOS-3 data assimilation system that is being used to support the EOS Terra and Aqua missions at NASA.

b. Water Vapor Tracers (WVTs)

This section describes the implementation of the WVT diagnostics into the GCM. The prognostic equation for water vapor is,

$$\frac{\partial q}{\partial t} = -\nabla_3 \cdot (qV) + \frac{\partial q}{\partial t}_{urb} + \frac{\partial q}{\partial t}_{cond} + \frac{\partial q}{\partial t}_{revp} + \frac{\partial q}{\partial t}_{RAS} \tag{1}$$

At any one point in the atmosphere, the physical tendencies that act on the water vapor are turbulence (*turb*, including surface evaporation), and the moist tendencies occurring because of large-scale precipitation and Relaxed Arakawa Schubert (RAS) convection parameterizations, which include condensation (*cond*), rain evaporation (*revp*) and redistribution by convection (*RAS*). The transport of water is critical to this experiment. Joussaume et al. (1986) suggest that a positive definite advection scheme is required for tracer transport, and they employed a forward scheme. In the present study, the model calculates moisture and tracer advection by a positive definite semi-Lagrangian scheme developed by Lin and Rood (1996). Tests with a fourth order advection scheme demonstrated that significant corrections are needed due to filling of negative values.

In the framework of a GCM, constituents of the atmosphere can be easily incorporated into the dynamical and physical framework, especially if these constituents are passive (i.e. do not affect or interact with the fundamental state variables of temperature, moisture and wind). In general, passive constituents are implemented in the GCM as three-dimensional prognostic variables, and can be referred to as tracers. In the present case, we would like to compute the contribution of water to precipitation in one region that originated as local and remote evaporation regions. To accomplish this, three-dimensional passive constituent tracers will use surface evaporation from a prescribed region as their origin. These constituents are predicted forward in time (at the model's time step), parallel to the model's prognostic water vapor

variable. The same physical processes that act on water vapor also act on the passive constituents, including precipitation. Therefore, given a finite area of evaporation, we can determine the contribution of that region's surface evaporation to water vapor and precipitation at any point on the globe. In this implementation, the passive constituent tracers of regional water vapor are called Water Vapor Tracers (WVTs).

If each model gridpoint's evaporation contributes to one WVT, then the sum of all WVT's will equal the prognostic water vapor $(\Delta q'=0)$, where,

$$\Delta q'(x, y, \sigma, t) = q(x, y, \sigma, t) - \left(\sum_{n=1}^{N_T} q_{Tn}(x, y, \sigma, t) + q_{TC}(x, y, \sigma, t)\right). \tag{2}$$

Here, q is the water vapor, q_{Tn} is defined as any regional WVT and N_T is the total number of regional tracers. q_{TC} is introduced as the complement tracer, which incorporates all surface evaporation sources not included in a regional tracer. The space and time dimensions are x, y, σ and t for longitudinal, latitudinal, vertical and temporal dimensions, respectively. For the experiments presented here, regional tracers are initially set to zero, and the complement tracer is initially set equal to water vapor at every point ($q_{TC}(x,y,\sigma,t)=q(x,y,\sigma,t)$). As the model integrates forward in time, the regional tracers will receive their region's evaporative source, but will take some time to spin up. In general, the spin up takes less than 2 weeks of simulation, but a month of spin up time is provided to each simulation. A separate experiment shows that the e-folding time of water to be precipitated is 8.5 days, and after 30 days less than 3% of the initial water remains in the atmosphere. Because the WVTs are passive and separate from the prognostic water vapor, this also provides a fundamental validation for the development of the model code, where $\Delta q'$ ideally should always be zero.

The prognostic equation for any one tracer is,

$$\frac{\partial q_T}{\partial t} = -\nabla_3 \cdot (q_T V) + \frac{\partial q_T}{\partial t}_{turb} + (E_{surf})_T + f_C \frac{\partial q}{\partial t}_{cond} + f_R \frac{\partial q}{\partial t}_{revp} + f_{RAS} \frac{\partial q}{\partial t}_{RAS}. \tag{3}$$

Turbulent tendency of the water vapor tracers occurs whenever tracer water is present, but positive surface evaporation may only be occurring in a tracer's finite source region $((E_{surf})_T)$. Further, the evaporative sink of tracers (owing to dew formation) is considered proportional to the ratio of tracer water and total water vapor near the surface. Tracer water is assumed to be well mixed with the total water vapor at each three-dimensional grid point. Therefore, the physical tendencies of tracer water by precipitation processes are computed proportional to the tendencies of total water vapor. Note that condensation and rain evaporation terms include both large-scale and convective tendencies, and the *RAS* subscript indicates the convection (or redistribution of water) by the Relaxed Arakawa Schubert convection scheme. The proportionality relationships for condensation (f_C) , rain evaporation (f_R) and RAS convective redistribution (f_{RAS}) are given by,

$$f_{C}(L) = \frac{q_{T}(L)}{q(L)},$$

$$f_{R}(L) = \frac{\int_{1}^{L-1} \left(\frac{\partial q_{T}}{\partial t} + \frac{\partial q_{T}}{\partial t}\right) d\sigma}{\int_{1}^{L-1} \left(\frac{\partial q}{\partial t} + \frac{\partial q}{\partial t}\right) d\sigma},$$

$$f_{RAS}(L) = \begin{cases} \frac{q_{T}(L)}{q(L)} & \frac{\partial q}{\partial t} < 0\\ \frac{\int_{LM}^{L+1} \frac{\partial q_{T}}{\partial t} d\sigma}{\int_{LM}^{L+1} \frac{\partial q}{\partial t} d\sigma} & \frac{\partial q}{\partial t} > 0. \end{cases}$$

$$(4)$$

L is a given model level, and LM is the lowest model level closest to the surface and L=1 is the top of the model (0.1 mb). Integrations are done on the sigma vertical coordinate. In order to close this set of equations, some conditions must be applied. If the proportionality requires the use of the tracer water and specific humidity, the previous time step data is used in the

calculation. If tendencies are required, the current time step specific humidity tendencies are used. The following boundary conditions are applied to solve the integrations:

$$\frac{\partial q}{\partial t}_{RAS} \leq 0 \qquad L = LM
\frac{\partial q}{\partial t}_{revp}, \frac{\partial q}{\partial t}_{revp} = 0 \quad L = 1$$
(5)

In words, the convective mass flux takes away water from the near surface layers, and the model does not produce condensation and rain evaporation at the top of the model.

The proportionality rules can be summarized by: sinks of tracer water consider the ratio of tracer water to total water vapor at a level (e.g. condensation of water), while the sources of tracer water consider the ratio of vertically integrated stores of tracer water and water vapor during vertical processes at a given time (e.g. rain evaporation).

It should be reiterated that the WVTs are being computed at the model time step as prognostic equations. The WVTs are predicted from model tendencies for water vapor, but do not affect the models state variables. The prognostic water vapor variable is used in the model precipitation, convection and radiation processes. The precipitation of any WVT at any time or grid point is computed by,

$$P_{T} = -\frac{p_{s}}{g} \int_{1}^{LM} \left(\frac{\partial q_{T}}{\partial t}_{cond} + \frac{\partial q_{T}}{\partial t}_{revp} \right) d\sigma. \tag{6}$$

 p_s and g are the surface pressure and gravitational acceleration, respectively. The subsequent analysis of the model data will rely on, for the most part, monthly averages. To compute the recycling ratio, we will use monthly total and local precipitation area averaged over the source region for each month. This will provide a time series that can be used to determine the seasonal mean and statistical relationships.

c. Experimental design

The above algorithm for the WVTs was implemented in the GEOS-3 GCM. For the experiments described here, the model was run at a horizontal resolution of 2°×2.5°, and 48 vertical levels. Six summer season simulations were performed including WVTs for the North American and Indian regions. The seasonal simulations are initialized on May 1 (for years 1990-1995) from an existing 10-year model simulation and run through the end of August. Sea surface temperature and sea ice are prescribed from monthly observations provided by NCEP (Reynolds and Smith, 1994). Thirteen regional tracers are defined. A fourteenth tracer is defined as the complement of the 13 regions (includes surface evaporation from the rest of the globe). Note that because each grid point's surface evaporation is included in only one WVT, the sum of all WVTs (as in equation 2) should ideally be equal to the GCM's prognostic water vapor. Differences that occur later in the simulation can be used to validate the tracer formulation.

Note that we use only thirteen regional tracers, but nineteen regions are identified in Figure 1 between India and North America. Previous studies showed that the Indian Ocean does not have much influence on North America as a source of water (Joussaume et al. 1986, Druyan and Koster 1989). The regional tracers in this experiment cover smaller areas than previous studies. Since it is unlikely that the two distant regions tracers would interfere, we include two geographic regions as a source for a single water vapor tracer. For example, this experiment uses the same three dimensional tracer to account for the southwestern United States (SW) and the India continental (IN) evaporation. Furthermore, this will improve the efficiency of the model simulation regarding computing power. The risk is, of course, that IN evaporation could be misdiagnosed as an SW source in North America. The purpose here is to test the viability of multiple regions in a single WVT as a way to optimize computing resources.

3. Results

In this section, we present the results of the numerical simulations. First, the mean summer (June, July and August – JJA) total precipitable water (TPW) and precipitation are compared with observations. The WVT methodology is validated against the model simulated water variables (TPW and precipitation). Finally, local and remote sources of water for precipitation in the North American and Indian regions are presented and bulk diagnostic methods of computing precipitation recycling are compared with WVT recycling.

a. Simulation of water vapor and precipitation

Figure 2 compares the modeled precipitation and TPW over North America with observations. Compared to the observed TPW (Simpson et al. 2001), GEOS is wetter in the Gulf of Mexico, but drier in the western United States. In general, the simulation produces too much precipitation (compared to Xie and Arkin, 1997 observations), in the central United States, the Gulf of Mexico, continental Mexico and the Atlantic Ocean. A swath of large precipitation extends from the central United States to Newfoundland. In the Indian region (Figure 3), the model simulated TPW seems to be comparable to observations (with the only notable exception being that the deserts are drier). The pattern of precipitation matches with the observations, but the areas of strong convection show too much simulated precipitation. At the global scale, the model's TPW and precipitation seem to be in line with the observations (Figure 4). While some differences with the observations are apparent, the simulated fields are generally realistic.

b. Validation of WVTs

The model predicts global water vapor as described by equation 1. This is entirely separate from the prediction of the WVTs. By design, each grid point's surface evaporation provides a source for only one WVT (one of the 13 regional tracers or the complement tracer). Therefore, the sum of the regional WVTs and the complement WVT ideally should be equal to

the model's prognostic water vapor ($\Delta q'=0$ in equation 2), and we shall use this to determine the uncertainty of the WVT methodology.

Figure 5 compares the model's simulated TPW and precipitation to the sum of all tracer water and the sum of all tracer precipitation from one simulation that was extended several months beyond JJA. The differences (Diff) are globally averaged every three hours. The standard deviation of the global differences (SD) is also included. Regional tracers are initialized at zero while the Complement tracer equals the specific humidity, so that the sum of the tracers is initialized to the model's total water. In the first few weeks, the sum of tracer water spins up to a value that is slightly smaller than the model's water (by -0.05 cm or ~2%). During the spin-up period, there is an overestimate of precipitation by the tracers. The excessive tracer precipitation reduced the total tracer water to a stable point where the tracer precipitation matches the modeled precipitation. So while there is little bias in the global precipitation, the standard deviation of the difference is ~0.2 mm day. (~5%). Figure 6 shows the zonal mean difference of JJA (all six years) water vapor and total WVTs. The largest difference is ~0.25 g kg. near a relative minimum of zonal precipitation (10S ~ 20S). In general, the differences are small in the lower troposphere, below the convective cloud base, and larger within the cloud.

The error in the WVTs is small but larger than truncation error. After reviewing the code, the differences were found to be primarily occurring from calls to the RAS routine and the WVT routine at different time steps (the WVTs were being updated every time step, and RAS less frequently). A secondary error was introduced due to sub-grid terms in the semi-Lagrangian advection that react to the different horizontal gradients of tracers and specific humidity. Fixing the code reduced the errors to computer truncation, but did not appreciably change the simulation of WVTs in a test case (only one season). The only similar data presented in the refereed literature is by Koster et al. (1986). However, only monthly differences are presented, and their

regional tracer precipitation shows some small differences compared to the model's simulated precipitation (near $\pm 1\%$). Table 1 shows all the sources of water for North American land regions. The last column of Table 1 indicates that we obtain small differences for seasonal means near those of Koster et al. (1986). The small errors likely do not affect the overall results in this study.

c. North American local and remote sources of water

In this section, North American (see Figure 1) JJA hydrology is investigated using the WVTs. This region is influenced by a blend of large-scale forcing of the moisture transport (Bermuda High and Rocky Mountains), the Great Plains Low-level Jet and local convective processes (Helfand and Schubert 1995; Higgins et al. 1997; Bosilovich and Sun 1999a). The source regions defined in Figure 1 were designed to isolate significant contributors to precipitation in the central United States.

Figure 7 shows the total precipitation and the percentage of each regional WVT precipitation over North America. This figure demonstrates the extent of the contribution of each region to the North American precipitation. Southerly flow that dominates the central United States prevents the MW region from influencing SE, and likewise carries SE and SW water into MW. The NW region influences much of North America due to the mean zonal flow. The AT region affects the United States east of the Mississippi River, and it does produce a lot of precipitation from the Gulf of Mexico and Gulf Stream. The Gulf of Mexico strongly affects the SE and MW regions, and even influences the east coast of Canada. A certain amount of water reaches the central United States from MX, but the BO region does not contribute to precipitation northward beyond MX and the southwestward beyond the ITCZ. It is interesting to note that the model tends to produce a high bias swath of precipitation from the central United States to

Newfoundland (as much as 1 mm day⁻¹ in Figure 2b), but there is not a clear regional, continental or oceanic source tied to the high bias. The model appears to be either producing too much surface evaporation everywhere or the precipitation mechanisms are leading to the bias. It is beyond the scope of this present study to pursue sensitivity and model development. Rather, this points to a potential use of the WVT methodology to diagnose model deficiencies.

Figure 8 shows the mean JJA moisture transport and evaporation, and helps to explain some of the features in Figure 7. Strong evaporation and the easterly flow across the tropical Atlantic Ocean provide the water for the MW and SE regions. Moderate evaporation that occurs in the east SW region is transported by the southerly flow associated with the Low Level Jet into the MW region. The long fetch of the NW region, moderate evaporation toward eastern NW, and zonal flow carry moisture toward the MW region. The EP region shows strong northerly flow along the NW that prevents a lot of moisture from entering the United States. The vertex of this northerly flow and the southerly flow of the Bermuda High occurs between the BO and MX regions. In the mean sense, little water from BO can cross this vertex and move to the central United States. Rather, as Figure 7 shows, the BO water is carried by the easterly flow to the ITCZ (but some does precipitate in western Mexico). However, moisture evaporated in east MX is far enough from the vertex to be transported by the southerly flow into the United States. The general pattern of the moisture transport (including this southwestern vertex of the flow) is comparable to Peixoto and Oort (1992) (their figure 12.17c). While we can use the moisture transport map to discuss the mean circulation of the atmospheric branch of the hydrologic cycle, WVT diagnostics quantify the sources and sinks of the regional hydrology.

Table 1 shows the percentage of precipitation that occurs in each of the North American land regions from all the WVTs. The time averages are computed from the area average of monthly mean WVT and total precipitation. Despite being a relatively small region (compared to

the Brubaker et al. 1993 central United States region), MW provides the largest source of water to the MW region (14.3%) while the sum of AT, TA and GM is about 18%. Regional continental sources make up more than half of the total water that precipitates in the MW region. Continental evaporation is an important source of water for the Midwest and the local source is only a part of that. This implies that the ability of the model to simulate precipitation in this region is strongly influenced by the land parameterization, especially the formulation of evapotranspiration.

The SE region is dominated by the oceanic sources, especially GM. However, recycling does account for 13% of the precipitation and 34% of the sources are unaccounted for by our regional tracers. Some of the unaccounted water is likely related to the tropical Atlantic Ocean closer to Africa (to be examined later in the analysis). The SW sources are diverse, with contributions from most of the nearby regions, though the magnitude of SW total precipitation and recycled precipitation are quite small (Table 2). The NW region exhibits a large local source of precipitation, much larger than the contribution of water from EP. A long fetch, and evaporation that exceeds precipitation, help to explain the importance of the local water source to the NW region during JJA. The MW and SE regions contribute to the NE precipitation due to their proximity, but GM and AT are also very important components. The MX region has some of the largest total and recycled precipitation of the regions studied (Table 2). The lack of a tropical Pacific Ocean source in the regional tracers limits our ability to analyze the MX sources of water.

Figure 9 shows the mean seasonal variation of the sources of water for the MW, SE, SW and MX regions. In the MW region, the sources from GM and TA show an increase during the summer season. The SE source for MW does not show a systematic variation within JJA, but the interannual variability (as denoted by the standard deviation bars) is larger than that of the MW region. Within the SE region, the sources of water from GM and AT (as well as the local source)

increase from June to August. Also, there is more variability in August compared to June and July for SE sources from GM and SE.

Eighteen months of data are used to compute correlations between some of the regional hydrological data. If we assume the actual number of degrees of freedom is about 15 (allowing for a small month-to-month correlation) a simple statistical significance test based on Fisher's ztransform (e.g. Stuart and Ord 1994) indicates that correlations with magnitudes greater than 0.5 are significantly different from zero at the 5% level. If we assume only 12 degrees of freedom the value must be larger than about 0.6 to be significant. Figure 10 shows the correlations between the local source precipitation (precipitation that originated as evaporation within the region of interest) and region averaged total precipitation and evaporation. In general, the land regions are characterized by a positive correlation between local precipitation and total precipitation. Barnston and Schikedanz (1984) found that irrigation helped to enhance precipitation only when a mechanism existed to trigger the precipitation. The positive correlation between precipitation and local precipitation seem to follow that idea, in that local precipitation is large when total precipitation is large. The correlation with evaporation seems weaker in some regions. This is likely because strong evaporation can occur in circumstances when precipitation cannot occur (such as a significant high pressure center), in which case, local water would diverge from the region.

The SW region has a recycling ratio comparable to SE and MW, but the total precipitation is much smaller (Table 2). The SW recycling ratio (Figure 9) decreases during the course of the summer, while there is an increase of the oceanic sources (GM, AT and TA in Figure 1). The decrease of SW recycling is related to the seasonal decrease of evaporation (1.30, 0.75, 0.64 mm day⁻¹ for June, July and August, respectively). This is reinforced by a strong correlation between evaporation and recycled precipitation in SW (Figure 10). The MX region

exhibits a decrease of the recycling ratio during the summer, while the influence of the BO region increases. This region is known to be strongly impacted by model deficiencies associated with tropical deficiencies. While the sources should be better described by WVTs incorporated into a reanalysis system, these also can be unreliable. For example, Barlow et al. (1998) find that ECMWF reanalysis shows southerly transport for the Mexican region precipitation, while NCEP reanalysis shows easterly flow.

In order to better understand the relationships between the different source regions, Table 3 shows correlations between the various regions of the amount of precipitation they contribute to the MW and SE regions. These are computed from the monthly mean WVT precipitation (as with the Figure 9). Positive values indicate that certain regions are related to each other, likely through the mean circulation. For example, in the MW region, the source from the Gulf of Mexico is related to the sources of the Atlantic and the Tropical Atlantic. In contrast, the sources from the Gulf of Mexico and Eastern Pacific show little correlation. The local source in both MW and SE regions tends to be more correlated with nearby continental regions' sources than with oceanic sources.

This information can be used to help understand the relevance of the regions and where additional regions may be needed. In both the MW and SE regions, the Gulf of Mexico is an important source of water and it is positively correlated to the sources from the Tropical Atlantic and Atlantic regions. Since the Atlantic sources are farther away, and hence, smaller in magnitude, it may be convenient for some studies to combine all the regions together. It is also useful to correlate the different source regions to the Complement source, in order to identify other regions with important sources. In these experiments, the Complement source in MW is correlated with the Gulf of Mexico, Topical Atlantic and Atlantic regions (Table 3). This indicates we should consider the rest of the Tropical Atlantic (between the Caribbean and Africa)

as a regional tracer to help explain more of the regional sources of water for the central United States (the SE correlations are somewhat weaker). In MW, the EP regional source is also correlated with the Compliment, indicating that the greater Pacific Ocean may need to be considered. Likewise in the SE region, MX and BO are correlated to the compliment, and may indicate that the tropical Pacific Ocean may play a role.

d. Indian local and remote sources of water

The Indian WVTs were computed using the same model constituent tracer arrays as those used for the North American region. The reason for doing this was to test the use of multiple regions in a single tracer to maximize the computational resources and exploit the global model in a regional study. Figure 11 shows the global map of WVT precipitation percentage from the Indian regional tracers along with the companion North American tracers. In general, there is little overlap between the WVTs from the two regions. The most notable exception is the constituent tracer for the Atlantic (AT) and Northern Continental (NC) regions. Precipitation from AT extends very far to the east across Europe, very close to precipitation from NC. However, there is little contribution from AT to the Indian region (IN). In addition, precipitation from SO extends westward across the tropics into South America. While this likely does not interfere with the EC recycling ratio, it may affect the fraction of precipitation associated with EC in MX (Table 1). The percentage of EC precipitation in MX is 0.51%, slightly greater than WC (0.34%) and SW (0.14%). These contributions are small, and do not adversely influence the analysis discussed in the previous section. Nonetheless, if precision is required, multiple regions contributing to a single constituent tracer should not be implemented. However, the overlap of WVT precipitation does not appear overly influential on the regional analysis, so that such a multiple-region tracer approach may be useful in some experiments. The amount of precipitation

that occurs in the Indian continental region (IN) from all the North American regions single tracers (MW, SE, TA, GM, MX, BO, EP) is 0.22% of the total precipitation.

The southern Indian Ocean (SO) is one of the most influential WVT regions. Its precipitation is noticeable from 40° S to 40° N, and across the tropics to the coast of South America (Figure 11). Druyan and Koster (1989) found that the Indian Ocean did not contribute to the Sahelian precipitation. However, that study considered a region similar to WO, which is dominated by low-level monsoon westerly flow. These results indicate that the SO source, which is characterized by low-level easterlies, could impact the Sahelian precipitation.

Water evaporated from the NC region reaches as farther east than the southeast Asian coast, and nearly to the Aleutian Islands. The other Indian regional WVTs are much more local. Figure 12 shows the seasonal contributions of the Indian regional WVTs to IN precipitation. The regional WVTs account for 85% of the Indian continental precipitation. The recycling ratios in IN are generally smaller than those in the North American region, but the magnitude of recycled precipitation is larger (due to the larger total precipitation). As a result of the low level monsoon winds and the oceanic evaporation, WO and SO account for about two-thirds of the water that precipitates over India. The WO sources decrease throughout the summer, while the SO sources increase. The variability of the SO and WO sources is small compared to the variability of the important oceanic sources in North America.

e. Bulk diagnostic recycling

In order to quantify precipitation recycling, Brubaker et al. (1993) and Eltahir and Bras (1994) developed diagnostic routines, based on the water balance, to compute precipitation recycling from monthly mean gridded fields (precipitation, evaporation and vertically integrated moisture transport). The bulk diagnostic recycling ratios for MW and SE regions have been computed using these methods (as in Bosilovich and Schubert, 2001). The difference between

these bulk diagnostic methods and the WVT recycling is that the WVT local precipitation is computed at each model time step using the model's physical tendencies. The WVT precipitation recycling can be considered as a quantitative estimate within the uncertainty discussed earlier and within the context of the GCM simulation. Therefore, the WVT precipitation recycling can be used to validate the simpler bulk diagnostic estimates of precipitation recycling.

Figure 13 shows the MW and SE recycling ratios for each month of the simulation from each method. In the MW region, the WVT recycling ratio is generally between the larger values of Eltahir and Bras (1994) and the lower values of Brubaker et al. (1993). Savenije (1995), Dirmeyer and Brubaker (1999) and Bosilovich and Schubert (2001) all find that the Brubaker method underestimates the precipitation recycling. While this is apparent for the MW region, in the SE region, the WVT recycling ratio is less than the values of both bulk diagnostic methods. The implication is that water evaporated within the SE region is more likely to leave the region, than suggested by both bulk diagnostic methods. Savenije (1995) attributes the systematic differences of the Brubaker bulk method to using monthly area-averaged hydrologic data. This seems appropriate for the MW region, but does not hold for the SE region.

Table 4 shows the correlations of the MW and SE regions monthly mean hydrologic data (precipitation and evaporation) with the bulk diagnostic recycling estimates (recycled precipitation) in addition to the WVT local source of precipitation. In the MW region, all the local precipitation methods are positively correlated with total precipitation. However, the SE WVT local precipitation is weakly correlated to total precipitation, and the bulk methods are even less correlated. Despite these differences, the recycled precipitation from each method is positively correlated in both the MW and SE regions (although the SE bulk method correlations with WVT are weak). Trenberth (1999) suggested that the bulk methods of precipitation recycling be considered as an index of precipitation recycling, rather than a quantitative estimate.

The large biases of the bulk diagnostic methods (Figure 13) and the positive correlation with WVT recycling (Table 4), indeed seem to indicate that the bulk diagnostic data could be used internally as indicators of recycling. Again, the evaporation shows little or no correlation with the bulk method local precipitation. One interpretation is that the largest evaporation events do not necessarily provide large local source of precipitation.

4. Summary and discussion

In order to compute the local and remote sources of water for regional precipitation, water vapor tracers have been implemented into the NASA GEOS GCM, following coarse resolution experiments by Koster et al. (1986) and Joussaume et al. (1986). Six summer seasons were simulated with regional tracers designed to better explain the local and remote sources of water for the central United States and the Indian continental precipitation. The purpose of this experiment is to demonstrate the methodology, the validation and the analysis of the water vapor tracer methodology applied to regional hydrologic cycles. Model physics affect the specific values of local and remote precipitation and these will vary from model to model. A more rigorous validation of the WVT methodology (compared to previous studies) indicates that our initial implementation of the tracers emulates the model's time and area averaged precipitation and water vapor with acceptably small differences from GCM calculations (typically within 1% of the model's predicted monthly precipitation).

The main results of the analysis of the tracer diagnostics for this simulation are as follows:

- More than 50% of the precipitation in the Midwestern United States came from continental regional tracers, and the local source was the largest of the regional tracers (at 14%).
- 2) 18% of Midwestern precipitation came from the Gulf of Mexico and Atlantic Ocean

tracers.

- 3) Statistical correlations suggest that a portion of the complement tracer is related to the Gulf of Mexico and Tropical Atlantic sources, so that extending the regional tracers farther east toward Africa may explain more of the central United States precipitation.
- 4) In general, most North American land regions showed a positive correlation between recycled precipitation and total precipitation. This is analogous to previous results that show local sources of water can increase precipitation, only if there exists a mechanism for precipitation (Barnston and Schikedanz, 1984).
- 5) The correlation of the local precipitation with evaporation was not as strong in some regions. This is likely related to strong evaporative events that may occur when precipitation cannot (e.g. wet surface and a dominant high pressure circulation).
- 6) Local precipitation in MW and SE is more correlated with nearby continental sources of water than oceanic sources (though the signal is weaker in SE).
- 7) The bulk diagnostic methods of precipitation recycling had some large biases compared to WVT recycling. However, the correlations of the bulk diagnostic cases tested here were positive with WVT recycling, indicating that the bulk methods may provide a useful index of recycling.
- 8) Using one tracer array to simulate more than one regional source of water did not overly influence any of the results. However, it can be distracting, and may not be desirable for all purposes.
- 9) The Western Indian Ocean provides the largest source of water vapor over continental India. This source is largest in June and decreases through August. The Southern Indian Ocean provides a significant source of water for India, but also for the Sahel.

These diagnostic tracers provide additional quantitative information on the regional

hydrologic cycle. Such diagnostics would be useful in sensitivity simulations. For example, testing the sensitivity to soil water initialization leads to different local and remote sources of precipitation for wet and dry cases. Without additional diagnostic data, it is impossible to quantify the difference in local and remote sources of water from the difference in precipitation due to thermodynamic perturbations (Bosilovich and Sun 1999 a and b).

While the complement tracer served its purpose for the validation exercise, it would be much more useful if the complement was broken up into several continental-scale water vapor tracers. In this way, continental and oceanic sources of water for any region could be determined. In addition, the correlation statistics may be better served through correlation with continental scale tracers. The primary deficiency of the WVT methodology is that if the WVTs are not properly defined at the beginning of experimentation, then the simulation will need to be redone. For example, the Midwestern United States precipitation may be better defined by a more rigorous tracking of the tropical Atlantic evaporative sources, and Mexican regional hydrology requires a more careful consideration of the tropical sources of water. This is not an issue with diagnostic approaches (as in Dirmeyer and Brubaker, 1999). Furthermore, the results from a GCM simulation are also limited by the GCMs ability to simulate the physical processes, climate circulation and variability. However, the data can provide additional quantitative analysis of the regional hydrology of climate variations and extremes, within the context of the global model. Applications within a global data assimilation system will provide better estimates of the local and remote sources of water in real data case studies.

Acknowledgments

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6. Tables

Table 1 Percentage of total precipitation in regions of the first column occurring from evaporation in the regions of the first row, averaged for JJA. Bold values highlight the local source of precipitation (recycling). The difference of the Sum from 100% is associated with the difference of the tracers and modeled precipitation. "Comp" is the complement WVT and consists of the rest of the globe not included in regional WVTs.

Source															
Destination	MW	SE	SW	NW	WC	EC	NE	AT	TA	GM	MX	ВО	EP	Comp	Sum
Midwest (MW)	14.3	12.6	4.7	10.1	4.8	2.0	1.2	4.5	3.3	9.9	4.0	1.6	4.3	23.1	100.3
South East (SE)	1.4	12.7	1.8	2.0	1.0	0.8	0.7	11.4	9.2	20.0	3.5	0.9	1.3	34.1	101.0
South West (SW)	1.7	4.5	11.8	5.8	1.9	0.7	0.5	4.8	5.5	12.0	11.1	4.9	4.6	31.2	101.2
North West (NW)	3.4	1.8	2.7	26.9	9.4	1.6	0.9	2.9	1.3	2.6	1.9	1.3	13.0	30.8	100.4
West Canada(WC)	1.9	0.6	0.4	5.9	28.7	4.8	0.9	2.1	0.3	0.6	0.3	0.3	15.6	37.3	99.8
East Canada(EC)	8.4	3.7	1.2	5.7	17.8	16.5	2.9	2.5	0.9	2.2	1.0	0.5	6.7	29.6	99.6
North East (NE)	11.8	13.9	2.2	5.6	4.5	3.1	7.8	9.6	3.5	9.1	2.3	0.8	3.1	23.0	100.4
Mexico (MX)	0.3	1.3	0.4	0.6	0.3	0.5	0.1	4.3	9.5	12.4	16.9	8.4	1.0	43.3	99.3

Table 2 Table of JJA North American continental region's precipitation (P), Evaporation (E), recycled precipitation (P_T), percentage of recycled precipitation, percentage of recycled evaporation and area of the region. Note that percentages do not equal the ratios of seasonal averages on this table, because the percentages are computed from the average of monthly ratios, not the ratio of seasonal averages.

	P (mm day-1)	E (mm day ⁻¹)	P _T (mm day ⁻¹)	% of P	% of E	Area (Km²)
MW	4.31	4.03	0.61	14.26	15.12	1.38E+06
SE	3.37	4.03	0.39	12.72	9.76	1.66E+06
SW	0.59	0.90	0.08	11.81	7.55	1.60E+06
NW	1.77	2.39	0.47	26.89	19.48	2.29E+06
WC	2.62	2.63	0.75	28.71	28.47	3.69E+06
EC	2.53	1.69	0.41	16.50	24.29	3.02E+06
NE	3.68	3.81	0.28	7.83	7.50	9.20E+05
MX	4.66	3.10	0.77	16.94	24.90	1.40E+06

Table 3 Correlation coefficients of all the sources of water for the MW region and the SE region precipitation (computed from 18 monthly mean values). Positive correlations greater than 0.5 are bold. Rows and columns are sorted by the mean percentage of precipitation from each region so that the largest sources are down to the right.

MW Reg	NE	ВО	EC	TA	MX	EP	AT	SW	WC	GM	NW	SE	MW
NE	1.00												
BO	0.28	1.00											
EC	0.65	0.18	1.00										
TA	0.07	-0.47	0.05	1.00									
MX	0.43	0.40	0.37	0.24	1.00								
EP	0.65	0.30	0.55	-0.12	0.63	1.00							
AT	0.11	-0.24	-0.06	0.47	0.14	-0.07	1.00						
SW	0.25	0.13	0.31	0.00	0.79	0.65	0.10	1.00					
WC	0.48	0.09	0.61	-0.12	0.37	0.82	-0.04	0.58	1.00				
GM	0.17	-0.25	0.24	0.84	0.42	0.07	0.57	0.14	-0.03	1.00			
NW	0.19	0.15	0.50	-0.04	0.56	0.65	-0.29	0.64	0.71	0.01	1.00		
SE	0.17	0.14	0.23	0.08	0.38	0.55	0.27	0.37	0.52	0.33	0.42	1.00	
MW	0.41	0.21	0.73	0.09	0.27	0.48	-0.31	0.13	0.52	0.21	0.67	0.33	1.00
Comp	0.48	0.29	0.44	0.54	0.77	0.53	0.22	0.42	0.31	0.69	0.43	0.40	0.45

SE Reg	NE	EC	WC	BO	MW	EP	SW	NW	MX	TA	AT	SE	GM
NE	1.00												
EC	0.24	1.00											
WC	0.31	0.71	1.00										
BO	0.10	0.71	0.62	1.00									
MW	0.09	0.49	0.71	0.28	1.00								
EP	0.27	0.75	0.91	0.76	0.61	1.00							
SW	0.17	0.55	0.70	0.55	0.39	0.78	1.00						
NW	0.09	0.63	0.85	0.57	0.83	0.85	0.71	1.00					
MX	0.11	0.79	0.71	0.91	0.34	0.84	0.79	0.65	1.00				
TA	0.08	0.61	0.41	0.51	0.13	0.57	0.43	0.38	0.60	1.00			
AT	-0.12	0.03	-0.27	-0.15	-0.33	-0.25	-0.31	-0.33	-0.17	0.50	1.00		
SE	-0.09	0.29	0.18	-0.02	0.41	0.10	-0.03	0.39	-0.01	0.22	0.16	1.00	
GM	-0.22	0.49	0.16	0.49	-0.08	0.32	0.21	0.18	0.51	0.86	0.61	0.32	1.00
Comp	0.03	0.61	0.32	0.59	0.11	0.49	0.32	0.33	0.59	0.93	0.53	0.16	0.85

Table 4 Correlation coefficients (computed from monthly means of six JJAs) of precipitation (P), evaporation (E), Eltahir and Bras (1994) local precipitation (P_E), Brubaker et al. (1993) local precipitation (P_B) and WVT local precipitation (P_T) for MW and SE.

MW	Р	Е	P_{T}	PE	PB
P	1.00				
Е	-0.27	1.00			
P _T	0.62	0.33	1.00		
P _E	0.80	-0.18	0.72	1.00	
P _B	0.66	0.17	0.88	0.87	1.00

SE	Р	Е	P_T	PE	P _B
P	1.00				
Е	0.22	1.00			_
P _T	0.28	0.10	1.00		
P _E	-0.08	-0.12	0.54	1.00	
P _B	0.18	0.01	0.46	0.87	1.00

7. List of Figures

Figure I Sources of surface evaporation for regional tracers. Abbreviations: MW – Midwest, SE – Southeast, SW – Southwest, NW – Northwest, WC – West Canada, EC – East Canada, NE – Northeast, AT – Atlantic, TA – Tropical Atlantic, GM – Gulf of Mexico, MX – Mexico, BO – Baja Oceanic, EP – East Pacific, IN – India, EO – East Oceanic, SO – South Oceanic, WO – West Oceanic, SA – Southeast Asia and NC – North Continental

Figure 2 TPW for 1990-1994 (cm) and precipitation 1990-1995 (mm day⁻¹) comparison for the 6 year JJA average over the North American region (Contour intervals of 0.5 1.0 1.5 2.0 2.5 3.0 3.5 4.0 4.5 5.0 6.0 8.0 12.0 16.0 20.0 and the contours divisible by 3 are bold). TPW observations are from NASA's Water Vapor Project (NVAP, Simpson et al., 2001) and precipitation observations are from Xie and Arkin (1997) (JJA 1990-1995).

Figure 3 As in Figure 2, but for the Indian Ocean region (Contour intervals of 0.5 1.0 1.5 2.0 3.0 4.0 6.0 8.0 12.0 16.0 20.0, TPW contours divisible by 3 cm and precipitation contours divisible by 6 mm day⁻¹ are bold).

Figure 4 (a) Zonal mean JJA 1990-1994 TPW (cm) for GEOS (solid) and NVAP (dashed).

(b) Zonal mean JJA 1990-1995 precipitation (mm day⁻¹) for GEOS (solid) and Xie and Arkin (1997) (dashed).

Figure 5 Time series of global mean differences (Diff) and standard deviation (SD) of the global difference map of the model minus sum of all tracers for (a) TPW and (b) precipitation. Data points are three hourly. This is one representative year of the six that was continued until mid-December.

Figure 6 Zonal average of the (a) JJA mean specific humidity (solid) and total water vapor tracers (dashed) (b) difference of total water vapor tracers and specific humidity, the solid line indicates cloud top and the dashed line indicates the Relaxed Arakawa Schubert cloud base, and (c) JJA precipitation. The data are time averaged for all six JJAs. Units are g/kg for specific humidity, water vapor tracer and their difference, and mm day-1 for precipitation.

Total precipitation (in mm day⁻¹) for the 6 year JJA average of the and each regional WVT and the complement WVT percentage of the total precipitation. The abbreviated name and geographic source region are included in each figure. Precipitation contour intervals are bold for 0.1, 4, 8 and 16 mm day⁻¹ while the light contours are 0.5, 1, 1.5, 2, and 3 mm day⁻¹. WVT contours are every 10% with bold contours at 5 50 and 90 %.

Figure 8 JJA average water vapor transport ([m s⁻¹][g kg⁻¹] vector scale is shown and every other grid point vector is shown) and evaporation (mm day⁻¹) (contoured with values greater than 4 mm day⁻¹ shaded).

Figure 9 Percent contribution of evaporation from the North American regions to precipitation in (a) MW, (b) SE, (c) SW and (d) MX. The bars indicate the monthly means for June, July and August averaged for all six season, and the error bars indicate one standard deviation of the mean.

Figure 10 Correlation coefficients of all the North American continental regions local precipitation (recycled precipitation) with total precipitation and evaporation.

Computed from monthly means of six JJAs. The dashed line indicates 0.5 correlation.

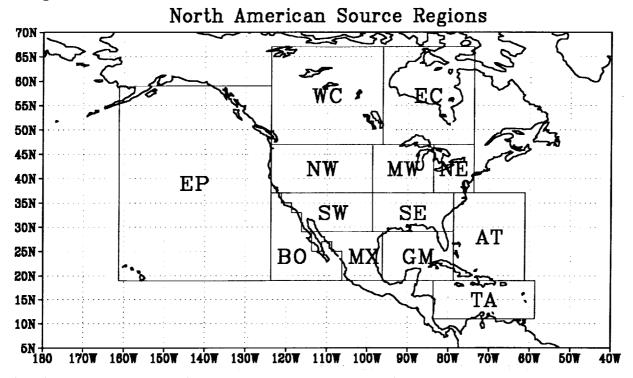
Figure 11 Percent of precipitation for the 6 year JJA average of the IN regional WVTs.

WVT contours are every 10% with bold contours at 5 50 and 90 %. These WVTs overlap with North American WVTs, and a global map is provided to examine the potential for interference of one region with another.

Figure 12 Percent contribution of Indian regional evaporative sources to IN precipitation.

Figure 13 Percentage of precipitation recycling for each simulated month for the WVTs (solid), Eltahir and Bras (1994) (long dash) and Brubaker et al. (1993) short dash in the (a) MW region and (b) SE region.

8. Figures



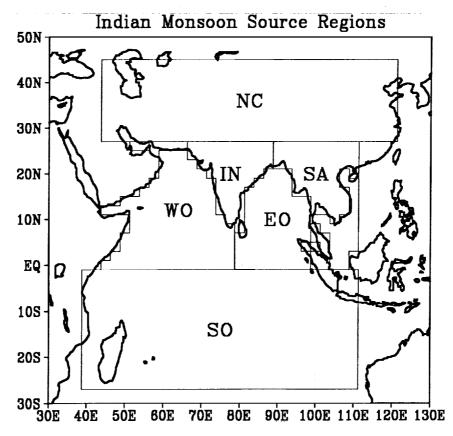


Figure 1

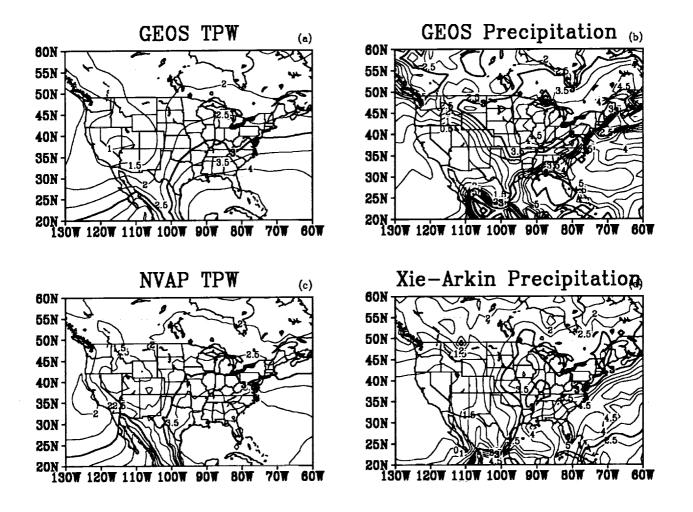


Figure 2

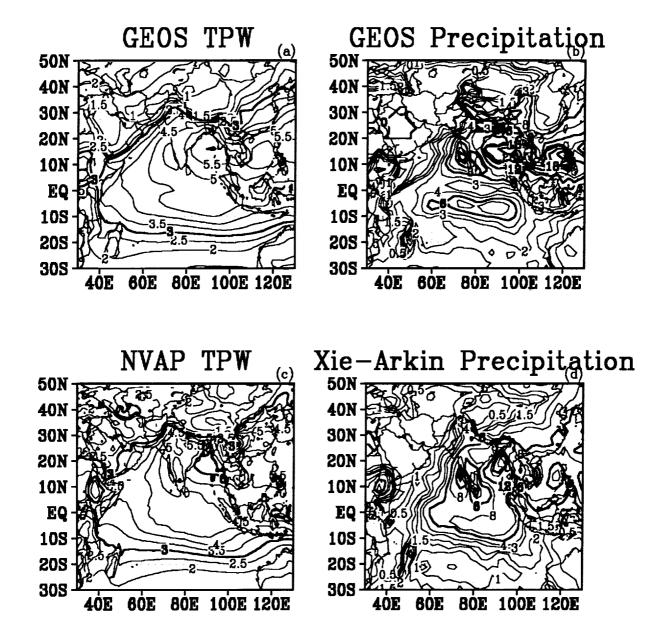


Figure 3

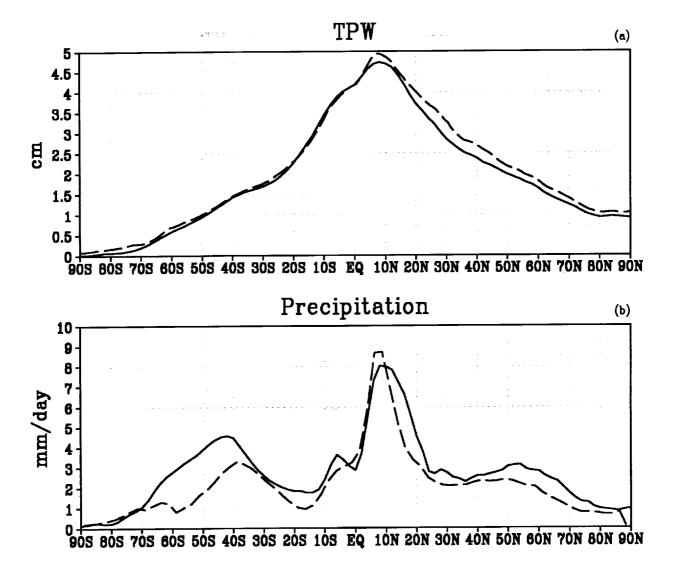


Figure 4

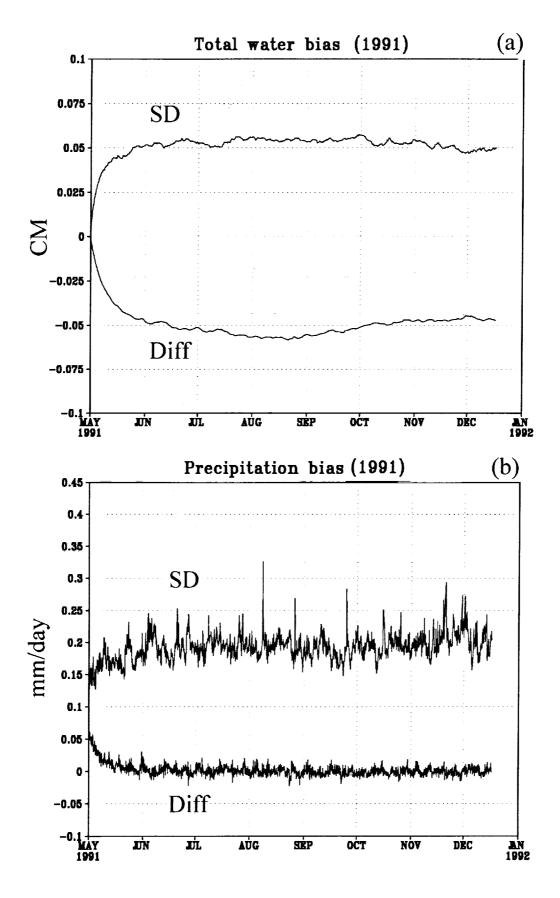


Figure 5

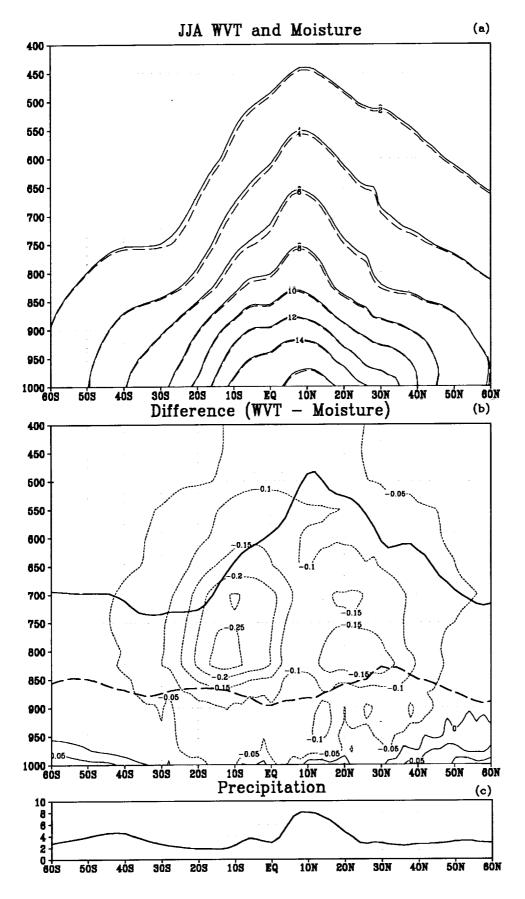


Figure 6

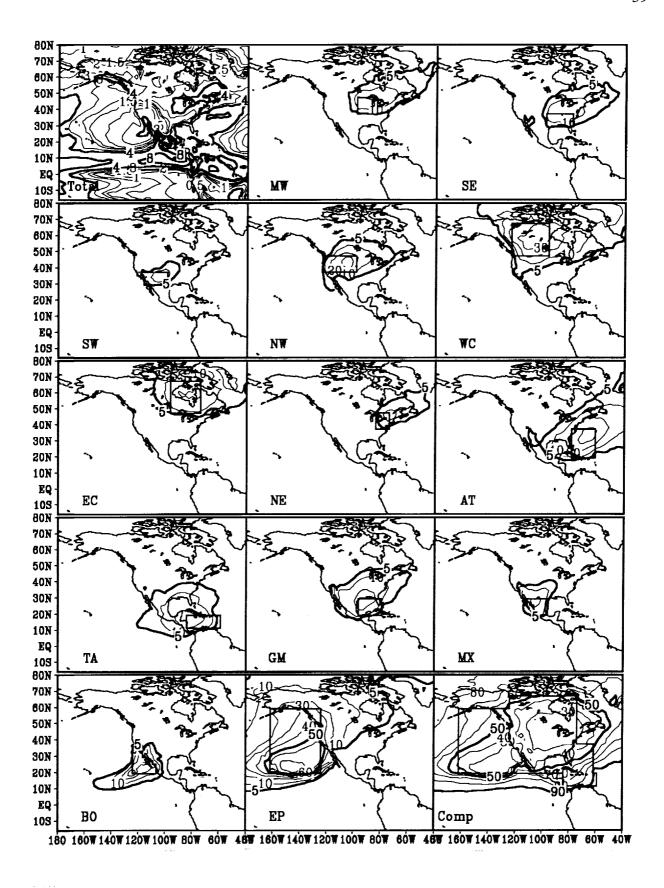


Figure 7

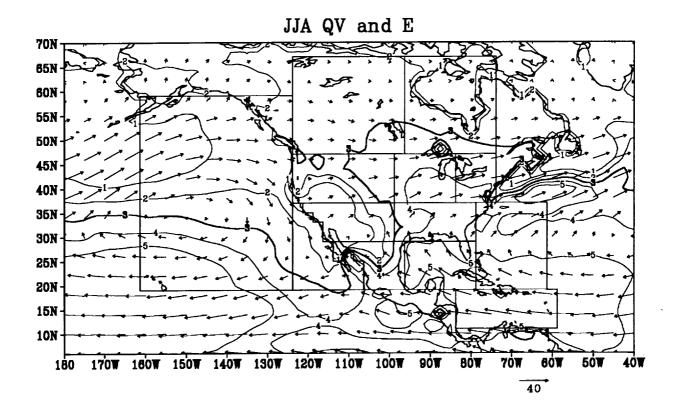
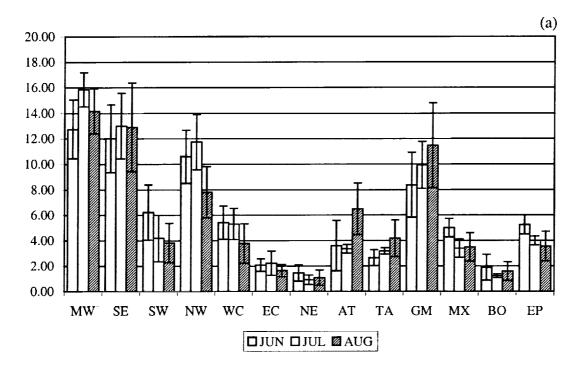


Figure 8

Midwest US Water Sources



Southeast US Water Sources

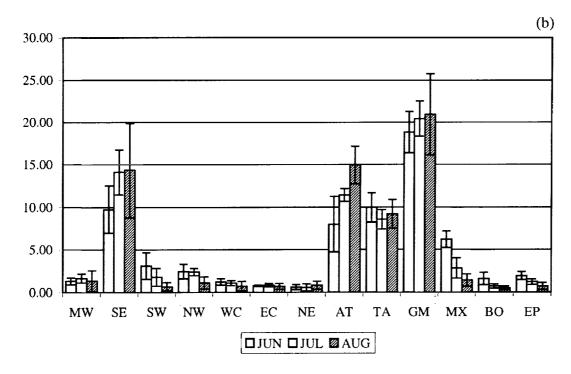
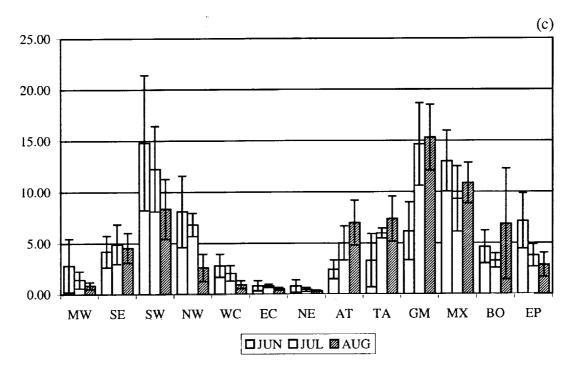


Figure 9

Southwest US Water Sources



Mexico Water Sources

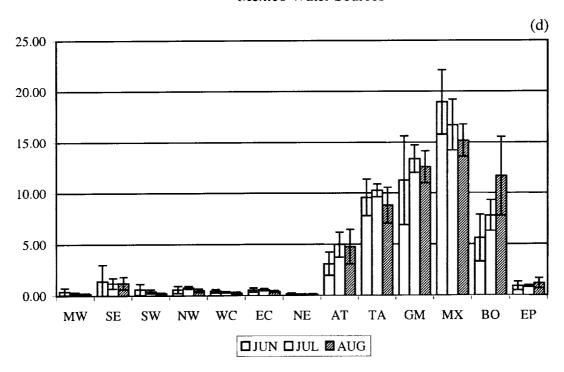


Figure 9

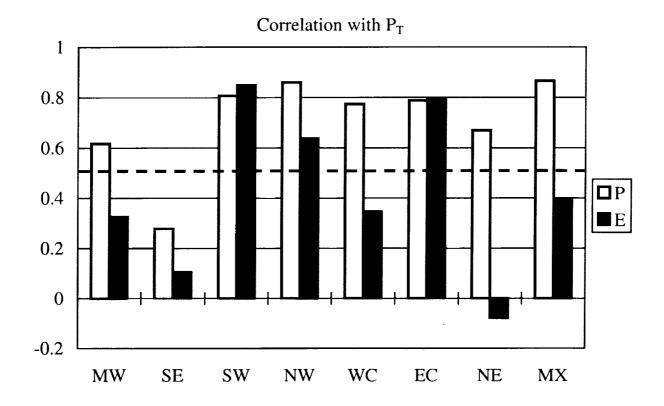


Figure 10

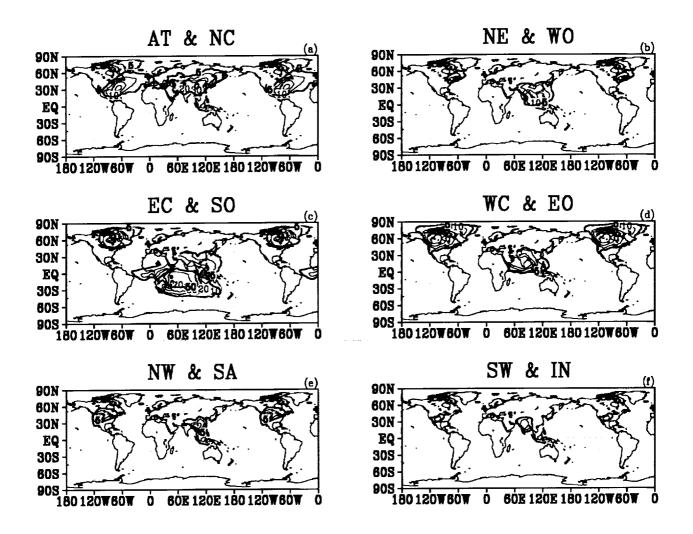


Figure 11

India Water Sources

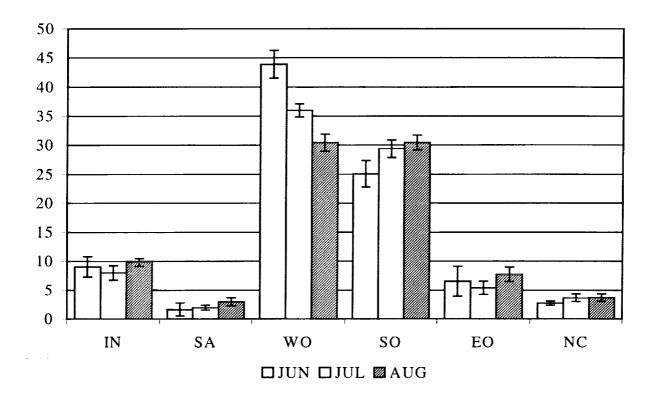


Figure 12

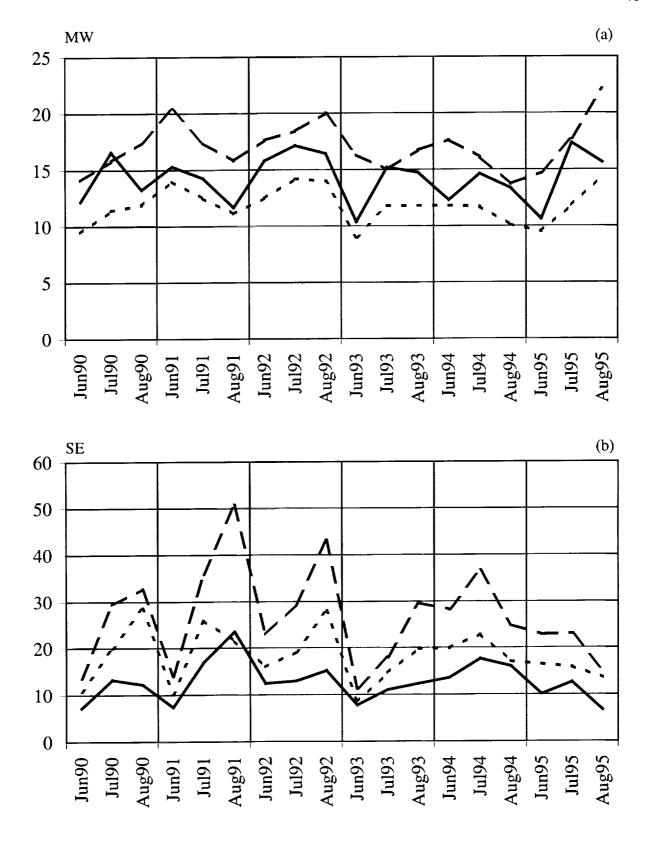


Figure 13

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